# OPTIMAL TRANSPORT APPLIED TO BCI

#### Nathalie GAYRAUD Maureen CLERC Alain RAKOTOMAMONJY

PhD Seminars of Inria







# OPTIMAL TRANSPORT APPLIED TO BCI

#### OUTLINE

- 1. BRAIN COMPUTER INTERFACES (THE P300 SPELLER)
- 2. OPTIMAL TRANSPORT
- 3. APPLYING OT TO BCI
- 4. RESULTS
- 5. DISCUSSION

### **BRAIN COMPUTER INTERFACES**

#### **Brain Computer Interfaces**



#### The P300 Speller

#### P300 Speller session

- User looks at a keyboard on the screen.
  - Letters are flashing.
- User counts the number of times "his" letter flashed.
- Each time "his" letter flashes, it elicits a response.
- Amplitudes are typically highest over parietal brain areas





#### The P300 Speller

#### Feature extraction

- > Time windowing
- Signal pre-processing
  - Frequency filtering
  - Spatial Filtering / Component analysis (Xdawn)[1]
  - Downsampling

#### Classification

> Feature vectors  $\{\mathbf{x}_i\}_{i=1}^N = \mathbf{X}$ are classified into two classes: Target / Nontarget





[1] Rivet, B., Souloumiac, A., Attina, V., & Gibert, G. xDAWN algorithm to enhance evoked potentials: application to brain-computer interface. IEEE Transactions on Biomedical Engineering, 2009, vol. 56, no 8, p. 2035-2043.

## Variability

Types

- Same-session
- Cross-session
- Cross-patient

#### Sources

- Equipment
- Electrode position
- Mental state
- Physiological differences
- Environmental

#### **Towards a zero-calibration BCI**



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# REGULARISED DISCRETE OPTIMAL TRANSPORT WITH CLASS LABELS

### **Optimal Transport**

Find a transportation that minimises a cost function.

1

$$\begin{split} \gamma_0 &= \operatorname*{argmin}_{\gamma \in \mathcal{B}} \langle \gamma, \mathbf{C} \rangle_{\mathbf{F}} \\ \mathcal{B} &= \left\{ \gamma \in (\mathbb{R}^+)^{N_e \times N_n} \mid \gamma \mathbf{1}_{N_n} = \mu_e \,, \gamma^{\mathbf{T}} \mathbf{1}_{N_e} = \mu_n \right\} \\ C &= d(x_i^e, x_j^n) = \|x_i^e - x_j^n\|_2^2 \\ \text{``What is the optimal way to transport} \\ \text{mass from domain A to domain B?''} \end{split}$$



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 $\hat{\mathbf{X}}^n = \operatorname{diag}(\gamma_0^\top \mathbf{1}_{N_e})^{-1} \gamma_0^\top \mathbf{X}_e$ 

Entropic regularisation

Allows for a faster implementation using Sinkhorn algorithm [2]

$$\gamma_0 = \operatorname*{argmin}_{\gamma \in \mathcal{B}} \langle \gamma, \mathbf{C} 
angle_{\mathbf{F}} + \lambda \mathbf{R}_s(\gamma)$$

 $\mathbf{R}_{s}(\gamma) = \lambda \sum_{i,j} \gamma(i,j) \log \gamma(i,j)$ 

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Regularise by class label when available for one of the two datasets [3]

$$\gamma_0 = \operatorname*{argmin}_{\gamma \in \mathcal{B}} \langle \gamma, \mathbf{C} \rangle_{\mathbf{F}} + \lambda \mathbf{R}_s(\gamma) + \eta \mathbf{R}_c(\gamma)$$

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### **APPLYING CL-REGULARISED OT TO P300**

#### Applying CL-Regularised OT to P300 Speller Data

Transport a set of unlabeled feature vectors onto the domain of a set of labeled feature vectors.

Training

**Input:** Sets X<sup>e</sup>, Y<sup>e</sup>

- ➢ Train classifier
- > Compute probability vector  $\mu_{p}$

Testing

Input: Set X<sup>n</sup>

- > Compute probability vector  $\mu_n$
- $\succ$  Compute  $\gamma$
- $\succ$  Transport feature vectors:  $\hat{X}^n$ 
  - Input to classifier

Output: Set Y<sup>n</sup>

#### Experiments

#### Dataset

- EEG signals recorded during
   P300 speller sessions at the
   CHU of Nice.
- Adult patients suffering from Amyotrophic Lateral Sclerosis (ALS).
- ➢ 12 Subjects
  - 1 Session per subject (calibration)
- ➢ 12 electrodes

#### Pairwise Transfer Learning

Train with one session  $\{\mathbf{X}_i^e, Y_i^e\}, i \in \{A1, \dots, A12\}$ 

Test with one session  $\mathbf{X}_j^n, j \in \{A1, \dots, A12\}, j 
eq i$ 





#### Results

Existing Session	A1	A2	A3	A4	A5	A6	A7	A8	A9	A10	A11	A12	Avg.
XD+LDA	0.535	0.562	0.598	0.600	0.591	0.595	0.570	0.578	0.516	0.566	0.553	0.526	0.566
	$\pm 0.05$	$\pm 0.07$	$\pm 0.09$	$\pm 0.07$	$\pm 0.10$	$\pm 0.08$	$\pm 0.06$	$\pm 0.06$	$\pm 0.02$	$\pm 0.07$	$\pm 0.06$	$\pm 0.02$	$\pm$ 0.03
XD+OT+LDA	0.627	0.539	0.567	0.548	0.611	0.598	0.560	0.490	0.518	0.551	0.583	0.585	0.565
	$\pm 0.07$	$\pm 0.02$	$\pm 0.06$	$\pm 0.04$	$\pm 0.11$	$\pm 0.07$	$\pm 0.06$	$\pm 0.17$	$\pm 0.01$	$\pm 0.04$	$\pm 0.05$	$\pm 0.06$	$\pm$ 0.04

Average performance (area under ROC curve) of an existing classifier over 11 experiments.

- ➢ Best performance before transport: 60%
- ➢ Best performances after transport: 62,7%

## **CONCLUSIONS & FUTURE WORKS**

#### **Conclusions & Future Works**

- Optimal Transport application enhances the generalisation capacity of existing classifiers
- > Computation is fast enough to allow online simulations

- > Include more information in the existing set
- > Combination of more than one existing set
- > Use Optimal Transport theory to reduce dimensionality

# Thank you !

Python toolbox used for Optimal Transport Computation: http://pot.readthedocs.io/en/latest/